



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2151

Inventor: Mastrianni et al.

Serial No.: 09/865,249

Filed: May 25, 2001

For: **Method and Apparatus for the Automatic Migration of Applications and Their Associated Data and Configuration Files**

35526

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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Group Art Unit: 2151

Examiner: Tiv, Backhean

Attorney Docket No.: YOR920010276US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 2, 2004.

By:

Dell Whitton

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HERewith:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AH; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0510.

Respectfully submitted,



Duke W. Yee

Registration No. 34,285

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: **Mastrianni et al.**

Serial No.: **09/865,249**

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0510. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0510.


In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the

Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: August 2, 2004

Respectfully submitted,



Duke W. Yee

*Registration No. 34,285*

**YEE & ASSOCIATES, P. C.**

P.O. Box 802333

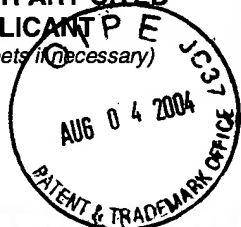
Dallas, Texas 75380

(972) 367-2001

Form PTO-1449

LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
YOR920010276US1SERIAL NO.  
09/865,249APPLICANT **Mastrianni et al.**FILING DATE **May 25, 2001**GROUP ART UNIT **2151**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 5,758,153	May 26, 1998	Atsatt et al.	395/614	Oct. 24, 1995
	AB 5,897,640	Apr. 27, 1999	Veghte et al.	707/202	Mar. 26, 1997
	AC 5,873,085	Feb. 16, 1999	Enoki et al.	707/10	Nov. 20, 1996
	AD 5,819,251	Oct. 6, 1998	Kremer et al.	707/1	Feb. 6, 1996
	AE 5,758,352	May 26, 1998	Reynolds et al.	707/200	Sep. 5, 1996
	AF 5,617,568	Apr. 1, 1997	Ault et al.	395/612	Dec. 14, 1994
	AG 6,697,846 B1	Feb. 24, 2004	Soltis	709/217	Mar. 20, 1998

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

	AH	Kim et al., "The Design and Implementation of Tripwire: A File System Integrity Checker", Proceedings of the 2 <sup>nd</sup> ACM Conference on Computer and Communications Security, 1994, pp. 1-18.			

## RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.